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অসম আভ্যন্তৰীণ জল পৰিবহন উন্নয়ন সমিতি
Assam Inland Water Transport Development Society
(An Autonomous Body under the Transport Department, Government of Assam)
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Notice No. AIWTDS/203/2019/ 45

Dated : 24th October,2019

Corrigendum-II

In reference to the tender notification no. AIWTDS/203/2019/21 dated 01.10.2019 for procurement **Consulting Services For verification of Disbursement Linked Indicators (DLIS) by independent verification agency for Assam IWT project** certain amendments enclosed as Annexure-A, have been incorporated in the bid document and Corrigendum-I. Bidders are requested to take the note of these amendments prior to the submission of bid.

Enclosed: Annexure-A

(Dhurbajyoti.Das, ACS)
Additional State Project Director, AIWTD Society

No. AIWTDS/203/2019/45-A

Dated: 24th October,2019

Copy to:

- i) The Director, IWT Assam for information and also for publishing the Corrigendum-II in the website of DIWT www.iwtdirectorate.assam.gov.in
- ii) OSD to SPD, AIWTD Society for kind appraisal of the State Project Director
- iii) For publishing the Corrigendum-II in the website of AIWTD Society.

(Dhurbajyoti.Das, ACS)
Additional State Project Director, AIWTD Society

Annexure-A of Corrigendum No. II

RFP No.: AIWTDS/203/2019/21

Date: 01.10.2019

Consulting Services For verification of Disbursement Linked Indicators (DLIS) by independent verification agency for Assam IWT project

Few provisions/annexures/tables of the RFP & Corrigendum-I have been amended as below :

LAST DATE AND TIME FOR SUBMISSION OF PROPOSAL : 05-11-2019 at 14:00 HOURS

TIME AND DATE OF OPENING : 05-11-2019 at 16:30 HOURS

(All other provisions related to proposal submission & Opening date in the RFP stands amended as above)

Section 7. Terms of Reference

1.5 Part of the financing under the project is designed to use a Results Based Disbursement system in which disbursements are linked to achievement of a set of pre-agreed Disbursement Linked Indicators (or DLIs). As of now, the project has planned 07 (Seven) DLIs of which 04(four) DLIs comprising of few stages are to be verified by IVA. However, in due course, the number of DLIs to be verified by IVA and the stages within the said DLIs may marginally change. The project has a DLI matrix, which defines the annual targets for the Disbursement Linked Indicators (DLIs) agreed between the AIWTDS and the World Bank as well as the mechanism, source and period of verification.

6. Deliverables and Schedules

Deliverables Timelines:

Sl. No.	Output	Schedule for completion
	<u>For the overall assignment:</u>	
1	An Inception Report including proposed Verification Plan	Within 1 month from contract signing with the IVA
2	An 'Assignment Completion Report'	Within 1 month of completion of all DLI

	containing summary of work done and suggestions for strengthening the verification protocol and process including digitalization of the process for future use by GoA.	verifications
<i>For each of the 04no.s of DLIs to be independently verified:</i>		
3	Draft Verification Report for DLI/each stage of the DLI	Within 5 weeks from the communication of achievement for the DLI/stages of DLIs by AIWTDS
4	Final Verification Report for DLI/each stage of the DLI	Within 1 week from the receipt of the comments on the draft verification report, from AIWTDS and World Bank

7. Key Personnel

7.1. The following key personnel are expected to be deployed at the minimum to undertake the assignment:

Sl. No.	Key Personnel	Minimum qualification and Experience	Expected Person Months for the project duration
1	Team Leader cum Organisation Restructuring Expert	A Post-Graduate with at least 15 years' experience in IWT/Marine/Port sector policy administration, restructuring, reform and/or process assignments and industry regulation including safety, environmental and economic regulations; and experience of at least 2 assignments of similar nature (result verification) in the last 5 years.	1
2	Monitoring & Evaluation Expert	A Post-Graduate with at least 7 years' experience in project monitoring and evaluation; and experience of at least 2 assignments of similar nature (result verification) in the last 5 years.	0.5
3	Vessel Expert	A Post Graduate in Marine Engineering/Naval Architecture with at least 10 years' experience in the manufacture, management, operation and safety of passenger/ freight vessels.	1
4	Field Support Staff (2 nos)	Engineering / diploma holders with minimum 5 years of working experience as Transport Analyst, and/or marine survey.	1.5

Note: All man months mentioned are indicative for the purpose of reference only. Consultants are expected to make their assessments based on the scope of work mentioned.

10. Payment Milestones

The payment under this assignment shall be made, after due acceptance of the deliverables by the society, as per the milestones detailed below:

SN	Deliverable	Percentage of the contract value
1	Inception Report including proposed Verification Plan	5%
2	Final verification report of the 04 nos. of DLIs to be independently verified.	20 % for each DLI. (The 20% payment allocated for each DLI shall be proportionately divided among each stages of the particular DLI.)
3	'Assignment Completion Report' containing summary of work done and suggestions for strengthening the verification protocol and process for future use by GoA.	15%
Total		100%

Note: In case of reduction or addition of number of DLIs, the contract amount will be proportionately increased/decreased.

ANNEXURE-1
Disbursement Linked Indicators, Allocated Amounts and Verification Protocols

DISBURSEMENT-LINKED RESULTS *						
DLI Indicators	Baseline	Stage 1	Stage 2	Stage 3	Stage 4	Stage 5
DLI 1 Enhanced sector capacity on safety and modern technologies	Training Plan being developed	At least 50 staff (subject to maximum of 500) from DIWTA/ Assam Shipping Company/ Assam Port Company and/or private boat operators have been trained on best practices for navigation safety and modern technologies, with at least 20% of them being registered private boat operators.	At least 50 staff (subject to maximum of 500) from DIWTA/ Assam Shipping Company/ Assam Port Company and/or private boat operators have been trained on best practices for navigation safety and modern technologies, with at least 20% of them being registered private boat operators.	At least 50 staff (subject to maximum of 500) from DIWTA/ Assam Shipping Company/ Assam Port Company and/or private boat operators have been trained on best practices for navigation safety and modern technologies, with at least 20% of them being registered private boat operators.	At least 50 staff (subject to maximum of 500) from DIWTA/ Assam Shipping Company/ Assam Port Company and/or private boat operators have been trained on best practices for navigation safety and modern technologies, with at least 20% of them being registered private boat operators.	Remaining staff to reach maximum of 500 from DIWTA/ Assam Shipping Company/ Assam Port Company and/or private boat operators have been trained on best practices for navigation safety and modern technologies, with at least 20% of them being registered private boat operators.
		DLI 2 Unbundling public sector operations from industry regulations	Internally conflicted institutional setup exists	The Transport Department / DWITA has transferred all fixed assets [identified in APC's asset devolution plan] (primarily public ferry terminals) to the Assam Ports Company.	The Transport Department / DWITA has transferred all floating/ movable assets [identified in ASC's asset devolution plan] (primarily public vessels) to the Assam Shipping Company.	
DLI 3						

DISBURSEMENT-LINKED RESULTS *						
DLI Indicators	Baseline	Stage 1	Stage 2	Stage 3	Stage 4	Stage 5
New, modern and safer public vessels with gender inclusive design (individual seating, separate toilet for women etc.)	Tender issued for procurement of 20 new vessels for improved safety and comfort with an inclusive design	DIWTA and/or Assam Shipping Company has/have received five (05) new vessels from the shipyards (corresponding to 50/100 pax capacity), all in compliance with the Assam Vessel Rules.	DIWTA and/or Assam Shipping Company has/have received five (05) new vessels from the shipyards (corresponding to 50/100 pax capacity), all in compliance with the Assam Vessel Rules.	DIWTA and/or Assam Shipping Company has/have received five (05) new vessels from the shipyards (corresponding to 50/100 pax capacity), all in compliance with the Assam Vessel Rules.	DIWTA and/or Assam Shipping Company has/have received five (05) new vessels from the shipyards (corresponding to 50/100 pax capacity), all in compliance with the Assam Vessel Rules.	DIWTA or Assam Shipping Company, as the case may be, is operating the rest of the new vessels received from the shipyards, all of them with crew in compliance with Assam Vessel Rules and Safety Regulations.
		DIWTA or Assam Shipping Company, as the case may be, is operating the 01(one) or more new vessels received from the shipyards, all of them with crew in compliance with Assam Vessel Rules and Safety Regulations.	DIWTA or Assam Shipping Company, as the case may be, is operating the 01(one) or more new vessels received from the shipyards, all of them with crew in compliance with Assam Vessel Rules and Safety Regulations.	DIWTA or Assam Shipping Company, as the case may be, is operating the 01(one) or more new vessels received from the shipyards, all of them with crew in compliance with Assam Vessel Rules and Safety Regulations.	DIWTA or Assam Shipping Company, as the case may be, is operating the 01(one) or more new vessels received from the shipyards, all of them with crew in compliance with Assam Vessel Rules and Safety Regulations.	DIWTA or Assam Shipping Company, as the case may be, is operating the 01(one) or more new vessels received from the shipyards, all of them with crew in compliance with Assam Vessel Rules and Safety Regulations.

DISBURSEMENT-LINKED RESULTS *						
DLI Indicators	Baseline	Stage 1	Stage 2	Stage 3	Stage 4	Stage 5
DLI4 - Retrofitted / new, safer country boats for private sector supported under the "jibondinga" incentive scheme	Old and unsafe private country boats	Govt of Assam has approved a total of fifty (50) incentive scheme beneficiaries (having made matching contributions), under the "jibondinga" incentive scheme, with at least 5% of such beneficiaries being awarded to women or self-help group boat operators.	Govt of Assam has approved a total of fifty (50) incentive scheme beneficiaries (having made matching contributions), under the "jibondinga" incentive scheme, with at least 5% of such beneficiaries being awarded to women or self-help group boat operators.	Govt of Assam has approved a total of fifty (50) incentive scheme beneficiaries (having made matching contributions), under the "jibondinga" incentive scheme, with at least 5% of such beneficiaries being awarded to women or self-help group boat operators.	Govt of Assam has approved a total of fifty (50) incentive scheme beneficiaries (having made matching contributions), under the "jibondinga" incentive scheme, with at least 5% of such beneficiaries being awarded to women or self-help group boat operators.	

Note : The Stages of DLIs mentioned above are not linked to a particular year and also the stages for different DLIs may coincide at the same times or may take place at different times. The stages are indicated only for the purpose of informing number of times a particular DLI is expected to be verified and the extent of verification expected.

Verification Protocol Table: Disbursement Linked Indicators

DLI 1	Enhanced sector capacity on safety and modern technologies
Description	The DLI will measure efforts to train and improve institutional capacity in delivering safer and efficient ferry services. The DLI is scalable.
Data source/ Agency	DIWTA/AIWTDs record on nomination and trainings provided to staff and private boat operators.
Verification Entity	Independent Verification Agency
Procedure	AIWTDs has self-certified approved Training plan for staff (including private sector). The trainings needed on safety, emergency response, and modern navigation technology etc. have been clearly identified to help develop sector capacity. Training target specified in the DLIs are verified with confirmation by AIWTDs on actual trainings received by staff (by DIWTA, ASC and APC as the case may be as well as the private sector (each of at least 16 training hours), accompanied with list of participants, course agenda and training certificate. IVA will verify the actual trainings received.
DLI 2	Unbundling public sector operations from industry regulations
Description	The DLI intends to incentivize the progress on reforms to corporatize public terminal operations and ferry services
Data source/ Agency	State Public Investment Board notification/letter; Govt notifications and certificate from registrar of companies; Transport Department, Government of Assam notification
Verification Entity	Independent Verification Agency
Procedure	<ol style="list-style-type: none"> Review the registration fee receipt and the company registration certificate issued for APC. The Transport Department self certifies an approved asset devolution plan for APC. The devolution plan at minimum includes details of the assets, their location and approximate valuation and time line for the devolution.) Review the registration fee receipt and the company registration certificate issued for ASC. The Transport Department self certifies an approved asset devolution plan for ASC. The devolution plan at minimum includes details of the assets, their age and approximate valuation and time line for the devolution.

DLI 3	New, modern and safer public vessels with gender inclusive design (individual seating, separate toilet for women etc.)
Description	This DLI will be met with introduction of better designed, safer and socially inclusive passenger ferry services. The DLI is scalable to permit flexibility in disbursement where annual targets fall short of full achievement.
Data source/ Agency	Delivery receipt of vessels / Self certification by Transport Department Registration Certificate from AIWTRA
Verification Entity	Independent Verification Agency
Procedure	<ol style="list-style-type: none"> a. IVA to verify the delivery note / receipt of class certified vessels conforming to bid specification (50/100 pax) as per the agreed delivery schedule. b. Review Govt. order on deployment of the new vessels along with crew on specific routes. Also review the Vessel specs and Crew deployed against Assam Vessel rules and safety regulations to ascertain compliance.
DLI 4	Retrofitted / new, safer country boats for private sector supported under the "jibondinga" incentive scheme
Description	The DLI assesses the effectiveness of the incentive scheme in supporting private sector including women and self-help groups to own / operate safer and regulation compliant country boats.
Data source/ Agency	Self certification by AIWTDS and/or Govt order on approved scheme beneficiaries under 'jibondinga'; Registration certificate from AIWTRS
Verification Entity	Independent Verification Agency
Procedure	<p>Verify Govt Order / Self certification by AIWTDS on approved incentive scheme beneficiaries i.e eligible private boat operators for retrofitting / new country boats (disaggregated by gender, women self-help groups) to assess total number of approvals under the jibondinga incentive scheme.</p> <p>Verify Vessel registration certificate to establish ownership by gender.</p>

Provision, 41.2 Section 8. Conditions of Contract and Contract Forms (Lump-Sum)

The payment schedule:

The payment under this assignment shall be made, after due acceptance of the deliverables by the society, as per the milestones detailed below:

SN	Deliverable	Percentage of the contract value
1	Inception Report including proposed Verification Plan	5%
2	Final verification report of the 04 nos. of DLIs to be independently verified.	20% for each DLI. (The 20% payment allocated for each DLI shall be proportionately divided among each stages of the particular DLI.)
3	'Assignment Completion Report' containing summary of work done and suggestions for strengthening the verification protocol and process for future use by GoA.	15%
Total		100%

Note: In case of reduction or addition of number of DLIs, the contract amount will be proportionately increased/decreased.

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